# On Unification of QBF Resolution-Based Calculi 

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(4) 1 (True)


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- Deciding QBF is PSPACE complete


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Example

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$\exists$ wins by playing $e \leftarrow \bar{u}$.

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Proof systems and solving

- DPLL (QuBE, depqbf, etc.)
- Expansion (AReQS, RAReQS, Quantor, sKizzo, Nenofex)
- unification, certification, understanding of QBF solvers


## Q-resolution [Büning et al., 1995]

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## $\forall$-reduction

$\frac{C \vee k}{C}(k \in C$ is universal with highest quant. level in $C)$
Tautologous resolvents are generally unsound and not allowed!
long-distance Q-resolution [Balabanov and Jiang, 2012] enables tautologous resolvents in some cases.

## Q-resolution Example

$\forall \mathbf{u} \exists \mathbf{e} .(\mathbf{u} \vee \overline{\mathbf{e}}) \wedge(\mathbf{u} \vee \mathbf{e})$
$u \vee \bar{e} \quad u \vee e$

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Fresh variables in order to keep prenex form

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\exists e_{1} \forall u_{2} \exists e_{3} .\left(\bar{e}_{1} \vee e_{3}\right) \wedge\left(\bar{e}_{3} \vee e_{1}\right) \wedge\left(u_{2} \vee e_{3}\right) \wedge\left(\bar{u}_{2} \vee \bar{e}_{3}\right)
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\begin{gathered}
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\exists e_{1} e_{3}^{\bar{u}_{2}} e_{3}^{u_{2}} \cdot\left(\bar{e}_{1} \vee e_{3}^{\bar{u}_{2}}\right) \wedge\left(\bar{e}_{3}^{\bar{u}_{2}} \vee e_{1}\right) \wedge \\
\left(\bar{e}_{1} \vee e_{3}^{u_{2}}\right) \wedge\left(\bar{e}_{3}^{u_{2}} \vee e_{1}\right) \wedge \\
e_{3}^{\bar{u}_{2}} \wedge \\
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Example: $\forall u \exists e .(u \vee e) \wedge(u \vee \bar{e})$ $\exists e^{\bar{u}} e^{\bar{u}} . e^{\bar{u}} \wedge \neg e^{\bar{u}}$ (expand by $\bar{u}$ )

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Refute as propositional (propositional resolution). Effectively this means we can use a SAT solver.

## Recursive Partial Expansion



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## $\forall E x p+R e s[J$. and Marques-Silva, 2013]

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- $\tau$ is a complete assignment to universal variables
s.t. there is no $I \in C$ with $\tau(I)=1$.
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## Example Proof in $\forall$ Exp + Res

## $\exists \mathbf{e}_{1} \forall \mathbf{u} \exists \mathbf{e}_{2}$



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## What is Hard for $\forall \operatorname{Exp}+$ Res

$\forall \mathbf{u}_{1} \exists \mathbf{e}_{2} \forall \mathbf{u}_{3} \mathbf{u}_{4} \exists \mathbf{e}_{5}$


## Our Contributions

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- IR-calc p-simulates Q-Resolution and $\forall \operatorname{Exp}+$ Res.
- IRM-calc additionaly p-simulates Long-distance Q-Resolution.
- For both IR-calc and IRM-calc we show polynomial winning-strategy extraction from IR-calc and IRM-calc refutations.


## IR-calc

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\begin{gathered}
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\frac{I_{1}^{\tau_{1}} \vee \cdots \vee I_{k}^{\tau_{k}}}{I_{1}^{\left[\tau_{1} \unrhd \sigma\right]} \vee \ldots \vee I_{k}^{\left[\tau_{k} \underline{V}\right.}}(\text { Instantiation by } \sigma)
\end{gathered}
$$

$\tau_{i} \underline{\vee} \sigma$ "completes" $\tau_{i}$ with $\sigma$. E.g. $\left(\bar{u}_{1} u_{2} \underline{\vee} u_{1} u_{3}\right)=\bar{u}_{1} u_{2} u_{3}$

## Example Proof in IR-calc

## $\forall \mathbf{u}_{1} \exists \mathbf{e}_{2} \forall \mathbf{u}_{3} \forall \mathbf{u}_{4} \exists \mathbf{e}_{5}$

$u_{1} \vee e_{2} \vee u_{3} \vee u_{4} \vee e_{5}$
$\bar{u}_{1} \bar{u}_{3} \bar{u}_{4}$
$e_{2}^{e_{1}} \vee e_{5}^{\bar{u}_{1} \bar{u}_{3} \bar{u}_{4}}$


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- Since Q-Res does not allow tautologous clauses, a resolution $x^{\tau_{1}} \vee C_{1}$ and $\neg x^{\tau_{2}} \vee C_{2}$ never has contradiction in $\tau_{1}, \tau_{2}$, which lets us make the annotation equal by instantiation.


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- To simulate $\forall E x p+R e s$, immediately instantiate by the complete assignments used in the $\forall$ Exp+Res proof.


## IRM-calc- "Merging" calculus

- enables merging $b^{1 / u} \vee b^{0 / u}$ into $b^{* / u}$.
- However, restricts resolution, $b^{* / u}$ and $\neg b^{c / u}$ cannot be resolved.


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$$
\frac{x^{\tau \cup \xi} \vee C_{1} \quad \neg x^{\tau \cup \sigma} \vee C_{2}}{\operatorname{inst}\left(\sigma, C_{1}\right) \cup \operatorname{inst}\left(\xi, C_{2}\right)} \text { (Resolution) }
$$

$\operatorname{dom}(\tau), \operatorname{dom}(\xi)$ and $\operatorname{dom}(\sigma)$ are mutually disjoint. $\operatorname{rng}(\tau)=\{0,1\}$

$$
\frac{C \vee b^{\mu} \vee b^{\sigma}}{C \vee b^{\xi}}(\text { Merging })
$$

$\operatorname{dom}(\mu)=\operatorname{dom}(\sigma)$
$\xi=\{c / u \mid c / u \in \mu, c / u \in \sigma\} \cup\{* / u \mid c / u \in \mu, d / u \in \sigma, c \neq d\}$

## Properties of IRM-calc

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- Theorem. A winning strategy for $\forall$ can be computed from a IRM-calc refutation in polynomial time.


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- IR-calc simulates Q-res but is it more powerful?
- Is IRM-calc needed?


# Thank you for your attention! 

## Questions?

嗇 Balabanov，V．and Jiang，J．－H．R．（2012）． Unified QBF certification and its applications． Formal Methods in System Design，41（1）：45－65．

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On propositional QBF expansions and Q－resolution．
In SAT．

