On Unification of QBF Resolution-Based Calculi

Olaf Beyersdorff¹ Leroy Chew¹ Mikoláš Janota²

 $^1 \mbox{School}$ of Computing, University of Leeds, United Kingdom $^2 \mbox{INESC-ID},$ Lisbon, Portugal

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Quantifications are shorthands for connectives (∃ = ∨, ∀ = ∧)
 Example:

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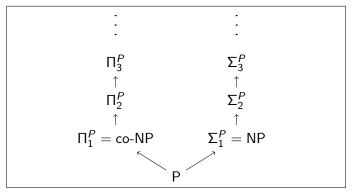
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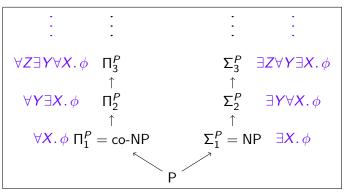
Example:

$$\begin{array}{ll} (1) & \forall x \exists y. \ (x \leftrightarrow y) \\ (2) & \forall x. \ (x \leftrightarrow 0) \lor (x \leftrightarrow 1) \\ (3) & ((0 \leftrightarrow 0) \lor (0 \leftrightarrow 1)) \land ((1 \leftrightarrow 0) \lor (1 \leftrightarrow 1)) \\ (4) & 1 \ (\mathsf{True}) \end{array}$$

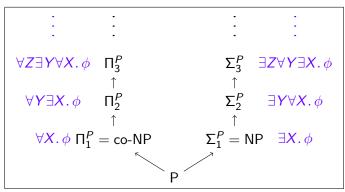
Relation to Complexity Theory



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• Deciding QBF is PSPACE complete

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 $\forall u \exists e. (u \lor e) \land (\bar{u} \lor \bar{e})$

 \exists wins by playing $e \leftarrow \overline{u}$.

Problem Statement

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Proof systems and solving

- DPLL (QuBE, depqbf, etc.)
- Expansion (AReQS, RAReQS, Quantor, sKizzo, Nenofex)
- unification, certification, understanding of QBF solvers

Q-resolution = *Q*-resolution rule + \forall -reduction

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Resolution

$$\frac{l \lor C_1 \qquad \neg l \lor C_2}{C_1 \lor C_2} (l \text{ existentially quantified})$$

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 \forall -reduction

 $\frac{C \vee k}{C} (k \in C \text{ is universal with highest quant. level in } C)$

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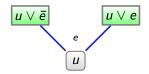
long-distance Q-resolution [Balabanov and Jiang, 2012] enables tautologous resolvents in *some cases*.

Q-resolution Example $\forall u \exists e. (u \lor \overline{e}) \land (u \lor e)$



Q-resolution Example

 $\forall u \exists e. \, (u \vee \overline{e}) \land (u \vee e)$



Q-resolution Example $\forall u \exists e. (u \lor \overline{e}) \land (u \lor e)$

 $u \lor \overline{e}$ $u \lor e$ $\forall u$

Expansion

 $\forall x. \ \Phi = \Phi[0/x] \land \Phi[1/x]$

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Fresh variables in order to keep prenex form

 $\exists e_1 \forall u_2 \exists e_3. \ (\bar{e}_1 \lor e_3) \land (\bar{e}_3 \lor e_1) \land (u_2 \lor e_3) \land (\bar{u}_2 \lor \bar{e}_3)$

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$$\exists e_1 e_3^{\bar{u}_2} e_3^{u_2}. \quad (\bar{e}_1 \lor e_3^{\bar{u}_2}) \land (\bar{e}_3^{\bar{u}_2} \lor e_1) \land \\ (\bar{e}_1 \lor e_3^{u_2}) \land (\bar{e}_3^{u_2} \lor e_1) \land \\ e_3^{\bar{u}_2} \land \\ \bar{e}_3^{u_2} \end{cases}$$

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 ∃e^ūe^ū. e^ū ∧ ¬e^ū (expand by ū)

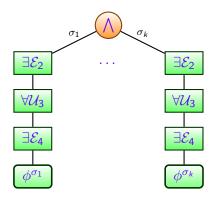
• It is not always necessary to expand both polarities. Example: $\forall u \exists e. (u \lor e) \land (u \lor \overline{e})$ $\exists e^{\overline{u}} e^{\overline{u}}. e^{\overline{u}} \land \neg e^{\overline{u}}$ (expand by \overline{u})

Refute as propositional (propositional resolution). Effectively this means we can use a SAT solver.

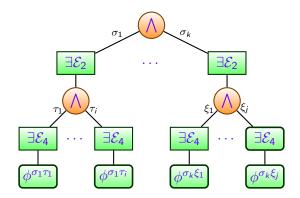
Recursive Partial Expansion



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∀Exp+Res [J. and Marques-Silva, 2013]

 $\frac{C \text{ in matrix}}{\{I^{[\tau]} \mid I \in C, I \text{ is existential}\}}$ (Axiom)

- τ is a complete assignment to universal variables s.t. there is no $l \in C$ with $\tau(l) = 1$.
- $[\mu]$ takes only the part of μ that is < l

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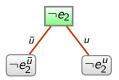
$$\frac{x^{\tau} \vee C_1 \quad \neg x^{\tau} \vee C_2}{C_1 \cup C_2}$$
(Resolution)

Example Proof in $\forall Exp+Res$

 $\exists e_1 \forall u \exists e_2$

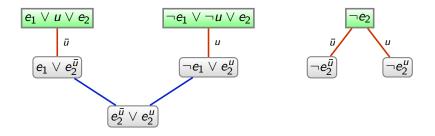






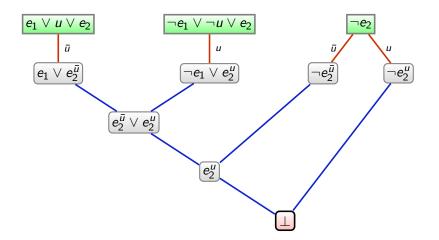
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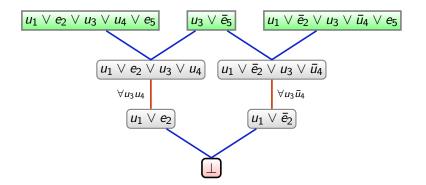
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What is Hard for $\forall Exp+Res$

 $\forall u_1 \exists e_2 \forall u_3 u_4 \exists e_5$



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- IR-calc p-simulates Q-Resolution and $\forall Exp+Res$.
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- For both IR-calc and IRM-calc we show polynomial winning-strategy extraction from IR-calc and IRM-calc refutations.

IR-calc

$$\frac{C \text{ in matrix}}{\left\{x^{[\tau]} \mid x \in C, x \text{ is existential}\right\}}$$
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 τ is the minimal assignment that assigns to 0 all universal literals of C

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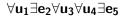
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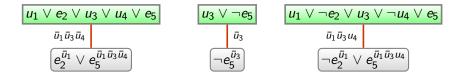
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$$\frac{l_1^{\tau_1} \vee \cdots \vee l_k^{\tau_k}}{l_1^{[\tau_1 \vee \sigma]} \vee \ldots \vee l_k^{[\tau_k \vee \sigma]}}$$
(Instantiation by σ)

 $\tau_i \leq \sigma$ "completes" τ_i with σ . E.g. $(\bar{u}_1 u_2 \leq u_1 u_3) = \bar{u}_1 u_2 u_3$

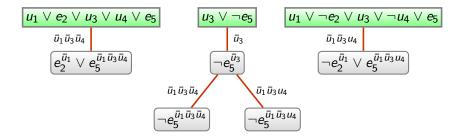
Example Proof in IR-calc





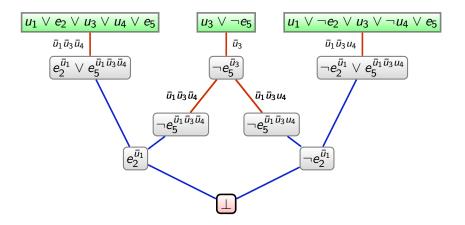
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- Since Q-Res does not allow tautologous clauses, a resolution $x^{\tau_1} \vee C_1$ and $\neg x^{\tau_2} \vee C_2$ never has contradiction in τ_1 , τ_2 , which lets us make the annotation equal by instantiation.

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- To simulate ∀Exp+Res, immediately instantiate by the complete assignments used in the ∀Exp+Res proof.

IRM-calc— "Merging" calculus

- enables merging $b^{1/u} \vee b^{0/u}$ into $b^{*/u}$.
- However, restricts resolution, $b^{*/u}$ and $\neg b^{c/u}$ cannot be resolved.

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$$\frac{x^{\tau \cup \xi} \vee C_1 \qquad \neg x^{\tau \cup \sigma} \vee C_2}{\operatorname{inst}(\sigma, C_1) \cup \operatorname{inst}(\xi, C_2)}$$
(Resolution)

dom(τ), dom(ξ) and dom(σ) are mutually disjoint. rng(τ) = {0,1}

$$\frac{C \vee b^{\mu} \vee b^{\sigma}}{C \vee b^{\xi}}$$
(Merging)

 $dom(\mu) = dom(\sigma)$ $\xi = \{c/u \mid c/u \in \mu, c/u \in \sigma\} \cup \{*/u \mid c/u \in \mu, d/u \in \sigma, c \neq d\}$

Properties of IRM-calc

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- **Theorem.** A winning strategy for ∀ can be computed from a IRM-calc refutation in polynomial time.

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- Is IRM-calc needed?

Thank you for your attention!

Questions?

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